



1 22 May 2013
2 CHMP/437/04 Rev 1
3 Committee for Medicinal Products for Human Use (CHMP)

4 Guideline on Similar Biological Medicinal Products

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6 Draft

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Draft agreed by Biosimilar Medicinal Products Working Party and Biologics Working Party	March 2013
Adopted by CHMP for release for consultation	25 April 2013
Start of public consultation	30 April 2013
End of consultation (deadline for comments)	31 October 2013

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9 This guideline replaces the Guideline on similar biological medicinal products (CHMP/437/04).

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11 Comments should be provided using this [template](#). The completed comments form should be sent to BMWP.Secretariat@ema.europa.eu

Keywords	similar biological medicinal product, biosimilar, biosimilarity exercise, comparability, reference medicinal product
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24 **Executive summary**

25 This Guideline outlines the general principles to be applied for similar biological medicinal products
26 (also known as biosimilars) as referred to in Section 4, Part II, Annex I to Directive 2001/83/EC, as
27 amended, where it is stated that *'the general principles to be applied [for similar biological medicinal
28 products] are addressed in a guideline taking into account the characteristics of the concerned
29 biological medicinal product published by the Agency'*.

30 This Guideline describes and addresses the application of the biosimilar approach, the choice of the
31 reference product and the principles of establishing biosimilarity.

32 **1. Introduction (background) and scope**

33 **1.1. Regulatory framework**

34 A company may choose to develop a new biological medicinal product claimed to be "similar" to a
35 reference medicinal product, which has been granted a marketing authorisation in the European
36 Economic Area (EEA) on the basis of a complete dossier in accordance with the provisions of Article 8
37 of Directive 2001/83/EC, as amended. For this scenario, the legal basis of Article 10(4) of Directive
38 2001/83/EC and Section 4, Part II, Annex I to the said Directive lays down the requirements for the
39 Marketing Authorisation Applications (MAAs) based on the demonstration of the similar nature of the
40 two biological medicinal products. Comparability studies are needed to generate evidence
41 substantiating the similar nature, in terms of quality, safety and efficacy, of the similar biological
42 medicinal product and the chosen reference medicinal product authorised in the EEA.

43 **1.2. Scope**

44 The Committee for Medicinal Products for Human Use (CHMP) issues specific guidelines concerning the
45 scientific data to be provided to substantiate the claim of similarity (or biosimilarity) used as the basis
46 for a Marketing Authorisation Application (MAA) for any biological medicinal product (as defined in
47 Section 3.2.1.1, Part I, Annex I to Directive 2001/83/EC, as amended).

48 The scope of the guideline is to fulfil the requirement of section 4, Part II, Annex I to Directive
49 2001/83/EC, as amended, which states that *'the general principles to be applied [for similar biological
50 medicinal products] are addressed in a guideline taking into account the characteristics of the
51 concerned biological medicinal product published by the Agency'*.

52 Therefore, the purpose of this guideline is to describe the concept of similar biological medicinal
53 products (hereby designated as "biosimilars") and to outline the general principles to be applied. The
54 CHMP guidelines addressing the planning and conduct of biosimilar comparability studies should always
55 be read in conjunction with relevant scientific guidelines and legislative provisions in force in the Union.

56 Companies developing biosimilars are invited to contact Regulatory Authorities to obtain further advice
57 on their development, whenever there is a need for more detailed information than provided in the
58 guidelines already available.

59 The EMA evaluates biosimilar medicines for authorisation purposes. The Agency's evaluations do not
60 include recommendations on whether a biosimilar should be used interchangeably with its reference
61 medicine.

62 2. Legal basis and relevant guidelines

63 The legal basis for similar biological applications can be found in Article 6 of Regulation (EC) No 726/2004
64 and Article 10(4) of Directive 2001/83/EC, as amended.

65 The data requirements for similar biological medicinal products are found in Part II, Section 4 of the Annex
66 I of Directive 2001/83/EC, as amended.

67 In addition, the following guidelines should be taken into account:

- 68 • Guideline on similar biological medicinal products containing biotechnology-derived proteins as
69 active substance – quality issues (EMA/CHMP/BWP/247713/2012)
- 70 • Guideline on similar biological medicinal products containing biotechnology-derived proteins as
71 active substance: non-clinical and clinical issues (EMA/ CHMP/BMWP/42832/2005)

72 Specific product related guidelines can be found in the EMA website under [Home, Regulatory,](#)
73 [Human medicines, Scientific guidelines, Multidisciplinary, Biosimilar.](#)

74 3. General principles

75 3.1. Application of the “biosimilar” approach

76 A biosimilar is a biological medicinal product that contains a version of the active substance of an
77 already authorised original biological medicinal product (reference medicinal product). A biosimilar
78 demonstrates similarity to the reference medicinal product in terms of quality characteristics, biological
79 activity, safety and efficacy based on a comprehensive comparability exercise.

80 In principle, the concept of a biosimilar is applicable to any biological medicinal product. However, in
81 practice, the success of developing a biosimilar will depend on the ability to produce a close copy to
82 the reference medicinal product and demonstrate the similar nature of the concerned products. This
83 includes physicochemical and biological characterisation and requires knowledge on how to interpret
84 any differences between a biosimilar and its reference medicinal product.

85 Therefore:

- 86 • The standard generic approach (demonstration of bioequivalence with a reference medicinal
87 product by appropriate bioavailability studies) which is applicable to most chemically-derived
88 medicinal products is in principle not appropriate to biological/biotechnology-derived products due
89 to their complexity. The “biosimilar” approach, based on a comprehensive comparability exercise,
90 will then have to be followed.
- 91 • The scientific principles of such a biosimilar comparability exercise are based on those applied for
92 evaluation of the impact of changes in the manufacturing process of a biological medicinal product
93 (as outlined in ICH Q5E).
- 94 • Whether the ‘biosimilar’ approach would be applicable for a certain biological medicinal product
95 depends on the state of the art of analytical procedures, the manufacturing processes employed,
96 as well as clinical and regulatory experiences, e.g. as regards the possibility to identify
97 comparability margins, availability of sensitive clinical endpoints and model conditions etc.
- 98 • Biosimilar comparability exercises are more likely to be applied to products that are highly purified
99 and can be thoroughly characterised (such as many biotechnology-derived medicinal products).
100 The ‘biosimilar’ approach is more difficult to apply to other types of biological medicinal products,
101 which by their nature are more difficult to characterise, such as biological substances arising from

102 extraction from biological sources and/or those for which little clinical and regulatory experience
103 has been gained.

104 • The posology and route of administration of the biosimilar should be the same as that of the
105 reference medicinal product. Deviations from the reference product as regards formulation or
106 excipients require justification or further studies.

107 • Intended changes to improve efficacy are not compatible with the biosimilarity approach.

108 • The biosimilar shall, with regard to the quality data, fulfill all requirements for Module 3 as defined
109 in Annex I to Directive 2001/83/EC, as amended and satisfy the technical requirements of the
110 European Pharmacopoeia and any additional requirements, such as defined in relevant CHMP and
111 ICH guidelines.

112 • Safety and efficacy of biosimilars have to be demonstrated in accordance with the data
113 requirements laid down in Directive 2001/83/EC, as amended. General technical and product-class
114 specific provisions for biosimilars are addressed in EMA/CHMP guidelines (see section 2). For
115 situations where product-class specific guidance is not available, applicants are encouraged to seek
116 scientific advice from Regulatory Authorities.

117 • In order to support pharmacovigilance monitoring, the specific medicinal product given to the
118 patient should be clearly identified in accordance with Article 102(e) of Directive 2001/83/EC, as
119 amended. In particular, brand name and batch number should be recorded for any biological
120 medicinal product.

121 **3.2. Choice of Reference Product**

122 The reference medicinal product must be a medicinal product authorised in the EEA, on the basis of a
123 complete dossier in accordance with the provisions of Article 8 of Directive 2001/83/EC, as amended.

124 A single reference medicinal product, defined on the basis of its marketing authorisation in the EEA,
125 should be used as the comparator throughout the comparability programme for quality, safety and
126 efficacy studies during the development of a biosimilar in order to allow the generation of coherent
127 data and conclusions.

128 However, with the aim of facilitating the global development of biosimilars and to avoid unnecessary
129 repetition of clinical trials, it may be possible for an Applicant to compare the biosimilar in certain
130 clinical studies and in vivo non-clinical studies (where needed) with a non-EEA authorised comparator
131 (i.e. a non-EEA authorised version of the reference medicinal product) which will need to be authorised
132 by a regulatory authority with similar scientific and regulatory standards as EMA (i.e. ICH countries). In
133 addition, it will be the Applicant's responsibility to establish that the comparator authorised outside the
134 EEA is representative of the reference product authorised in the EEA.

135 If certain studies of the development programme are performed with only the non-EEA authorised
136 comparator, the Applicant should provide adequate data or information to scientifically justify the
137 relevance of these comparative data and establish an acceptable bridge to the EEA-authorised
138 reference product. As a scientific matter, the type of bridging data needed will typically include data
139 from analytical studies (e.g., structural and functional data) that compare all three products (the
140 proposed biosimilar, the EEA-authorised reference product and the non EEA-authorised comparator),
141 and may also include clinical PK and/or PD bridging studies data for all three products. All comparisons
142 should meet the target acceptance criteria for analytical and PK/PD similarity which will be determined
143 on a case-by-case/product-type basis. Moreover, the overall acceptability of such an approach and the
144 type of acceptable bridging data will be a case-by-case/product-type decision, and should be discussed

145 upfront with the Regulatory Authorities. A final determination about the adequacy of the scientific
146 justification and bridge will be made during the review of the application.

147 **3.3. Principles of establishing biosimilarity**

148 The guiding principle of a biosimilar development programme is to establish similarity between the
149 biosimilar and the reference product by the best possible means, ensuring that the previously proven
150 safety and efficacy of the reference medicinal product also applies to the biosimilar.

151 A biosimilar should be highly similar to the reference medicinal product in physicochemical and
152 biological terms. Any observed difference would have to be duly justified with regard to their potential
153 impact on safety and efficacy and could contradict the biosimilar principle. Differences that could have
154 an advantage as regards safety (for instance lower levels of impurities or lower immunogenicity)
155 should be explained, but may not preclude biosimilarity. If the biosimilar comparability exercise
156 indicates early on that there are significant differences between the intended biosimilar and the
157 reference medicinal product making it unlikely that biosimilarity will eventually be established, a stand-
158 alone development should be considered instead.

159 A stepwise approach is normally recommended throughout the development programme, starting with
160 a comprehensive physicochemical and biological characterisation. The extent and nature of the non-
161 clinical *in vivo* studies and clinical studies to be performed depend on the level of evidence obtained in
162 the previous step(s) including the robustness of the physicochemical, biological and non-clinical *in vitro*
163 data.

164 The ultimate goal of the comparability exercise is to exclude any relevant differences between the
165 biosimilar and the reference medicinal product. Therefore, studies should be sensitive enough with
166 regard to design, population, endpoints and conduct to detect such differences.

167 In specific circumstances, e.g. for structurally more simple biological medicinal products, a
168 comparative clinical efficacy study may not be necessary if similarity of physicochemical characteristics
169 and biological activity/potency of the biosimilar and the reference product can be convincingly shown
170 and similar efficacy and safety can clearly be deduced from these data and comparative PK data. Such
171 an approach may have to be supported by additional data, for example *in vitro* and/or clinical PD data
172 from a comprehensive comparative PD fingerprint approach.

173 In general, such simplified approaches should always be discussed with Regulatory Authorities before
174 commencement of such development.